

I. GENERAL INFORMATION

APPLICANT: _____ ASSISTANCE NO.: _____

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SECTION 1 - Additional Requirements Prior to Award ☐ N/A

Program Code	Requirement	Yes	No	Comments
A	<p>Was the final FSR submitted for most recently ended budget period? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Is the final reconciliation complete? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>What is the required Level of Effort Amount?</p> <p>Prior Year Non-Federal Recurrent Expenditures: _____</p> <p>Current Non-Federal recurrent Expenditures: _____</p>	<input type="checkbox"/>	<input type="checkbox"/>	CAA Section 105 requires States to contribute a level of effort commensurate with the prior funding period.
BF	Brownfield CERCLA 104(k)(4)(b) - Are there administrative costs contained in the budget?	<input type="checkbox"/>	<input type="checkbox"/>	Administrative Costs are Prohibited
CB	CWA Section 117 - Do administrative costs exceed 10% of the Federal grant?			Costs in the form of salaries, overhead and indirect for administering the grant cannot exceed 10 percent of the federal funds awarded.
C6	CWA 604(b) - Has the 40% pass - thru requirement been satisfied?	<input type="checkbox"/>	<input type="checkbox"/>	If no, date RA waiver approved: _____
C9	<p>Non-Point Source Management CWA 319(h) - Do administrative costs in the form of salaries, overhead and indirect costs exceed 10 percent of the Federal funds?</p> <p>Has the Applicant satisfied the Maintenance of Effort requirement? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<input type="checkbox"/>	<input type="checkbox"/>	<p>40 CFR 35.268(c) - Administrative costs in the form of salaries, overhead and indirect costs shall not exceed 10 percent of the funds the State receives in any fiscal year.</p> <p>40 CFR 35.266 requires States to maintain its aggregate expenditures from all other sources for programs for controlling non-point pollution and improving the quality of the State's waters at or above the average level of such expenditures in FY 1985 or 1986.</p>
CS	<p>Clean Water State Revolving Fund Program - Was the Intended Use Plan, Operating Agreement, Payment Schedule, Schedule of Binding Commitments & Attorney General Certification submitted with the Application for Federal Assistance?</p> <p>Does the payment schedule meet the requirements of 40 CFR 35.3155(c)?</p> <p>Does the schedule of binding commitments meet the requirements of 40 CFR 35.3135(c)?</p> <p>Do the administrative costs exceed 4 percent of the cumulative grant awards received by the applicant?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<p>40 CFR 35.3110(d)(2) - Attorney General Certification submitted with each award</p> <p>40 CFR 35.3130(a)(b) - IUP and Operating Agreement are components of the Application</p> <p>40 CFR 35.3120(g) - Administrative costs may not exceed 4 percent of all grant awards received by the SRF.</p>
FS	<p>Drinking Water State Revolving Fund Program - Was the Intended Use Plan, Operating Agreement, Payment Schedule, Schedule of Binding Commitments & Attorney General Certification submitted with the Application for Federal Assistance?</p> <p>Does the payment schedule meet the requirements of 40 CFR 35.3560(b)?</p>	<input type="checkbox"/>	<input type="checkbox"/>	<p>40 CFR 35.3545(d) - Attorney General Certification submitted with each award</p> <p>40 CFR 35.3545(a) - IUP and Operating Agreement are components of the Application</p> <p>40 CFR 35.3435(b) - Administrative costs may not exceed 4 percent of all grant awards received by the SRF.</p>

	<p>Does the schedule of binding commitments meet the requirements of 40 CFR 35.3550(e)(1)?</p> <p>Do the administrative costs exceed 4 percent of the cumulative grant awards received by the applicant?</p> <p>Is the set-aside for program mgmt. equal to or less than 10% of the State's allotment?</p> <p>Is the set-aside for technical assistance to small system equal to or less than 2% of the State's allotment?</p> <p>Is the set-aside for Local assistance and State Programs equal to or less than 15% of the State's allotment?</p>			
GA	<p>Indian Environmental Assistance Program - Is this the initial award of assistance? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Are the Federal funds awarded less than \$75k?</p>	<input type="checkbox"/>	<input type="checkbox"/>	40 CFR 35.548 - Initial award may not be less than \$75k. Subsequent amendments may be less.
I	<p>Water Pollution Control CWA 106 - Has the Applicant satisfied the Maintenance of Effort Requirement?</p> <p>1971 Year Non-Federal Recurrent Expenditures: _____</p> <p>Current Non-Federal recurrent Expenditures: _____</p>	<input type="checkbox"/>	<input type="checkbox"/>	40 CFR 35.165 - A State or interstate Agency must expend annually for recurrent Section 106 program expenditures an amount of non-federal funds at least equal to expenditures during the fiscal year ending June 30, 1971.
K1	State Indoor Radon Grants - Section 308 - Does overhead and program administration costs exceed 25 percent of the federal funds awarded?	<input type="checkbox"/>	<input type="checkbox"/>	40 CFR 35.298(d) - Overhead and program administration costs shall not exceed 25 percent of the amount of the federal funds awarded
NE	National Environmental Education Act - Are the Federal funds awarded >\$250k?	<input type="checkbox"/>	<input type="checkbox"/>	40 CFR 47.115 - Individual awards may not exceed \$250k
T	Training Assistance - Does the budget and project exceed three years?	<input type="checkbox"/>	<input type="checkbox"/>	40 CFR 45.140 - Budget & Project periods may not exceed three years.
V	Superfund - Subpart O - Are pre-award costs contained in the application?	<input type="checkbox"/>	<input type="checkbox"/>	40 CFR 35.6460* - Pre-award costs may be approved 90 days prior to award if contained in the Application for Federal Assistance & approved by the Award Official

*Proposed Regulatory Change for the Superfund Program

ADDITIONAL FOLLOW-UP ACTIONS NEEDED

Reviewer

Date